

## MULTI-STEP BIDDING PROCESS FOR CONTRACTORS

# **Request For Solicitation For Construction Services**

**Stage II – General Contractors Bidders List FY08** 

**January 29, 2008** 

# CONTROL ROOM UPGRADE DECKER LAKE YOUTH CENTER

# DIVISION OF JUVENILE JUSTICE SERVICES WEST VALLEY CITY, UTAH

DFCM Project No. 07193430

AJC Architects 703 East 1700 South Salt Lake City, Utah 84105

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Current copies of the following documents are hereby made part of these contract documents by reference. These documents are available on the DFCM web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a> or are available upon request from DFCM:

DFCM General Conditions dated May 25, 2005 DFCM Application and Certificate for Payment dated May 25, 2005

**Technical Specifications:** 

Drawings:

The Agreement and General Conditions dated May 25, 2005 have been updated from versions that were formally adopted and in use prior to this date. The changes made to the General Conditions are identified in a document entitled Revisions to General Conditions that is available on DFCM's web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>

#### **INVITATION TO BID**

ONLY FIRMS PRE-QUALIFIED DURING STAGE I OF THE RFS ARE ALLOWED TO BID ON THIS PROJECT

The State of Utah - Division of Facilities Construction and Management (DFCM) is requesting bids for the construction of the following project:

# CONTROL ROOM UPGRADE - DECKER LAKE YOUTH CENTER DIVISION OF JUVENILE JUSTICE SERVICES – WEST VALLEY CITY, UTAH DFCM PROJECT NO: 07193430

Project Description: Interior renovation of room to create more usable, functional space including some demo, new millwork, all new door, security, surveillance, and lighting control systems. Construction Cost Estimate: \$240,000.00.

<u>Firm Name</u>	<b>Contact</b>	<u>Fax</u>
Arnell-West, Inc	Jason Arnell	(801) 975-9967
Ascent Construction	Brad L. Knowlton	(801) 299-0663
Bailey Construction Co., Inc.	Tracy Bailey	(435) 245-6413
Benstog Construction, Corp.	Patrick Benstog	(801) 399-1335
Bradley Construction, LLC.	Brad Piggott	(801) 298-6308
Broderick and Henderson Const	Gary Broderick	(801) 225-4697
CDC Restoration & Construction	Ralph Midgley	(801) 266-6645
Chad Husband Construction, Inc.	Richard Marshall	(801) 886-1784
CSM Construction, Inc.	Dan Noorda	(801) 280-2813
Darrell Anderson Construction	James Anderson	(435) 752-7606
Hidden Peak Electric Co., Inc.	Dereke Lee	(801) 262-5689
JC Construction	John Cecala	(801) 262-7966
Jepson Construction	Rick Jepson	(801) 773-8980
Keller Construction	S. Daniel Hill	(801) 972-1063
McCullough Engineering	Jim McCullough	(801) 466-4989
Mecham Brother, Inc.	G. Scott Mecham	(801) 985-0423
MW Construction, Inc.	Bill Shuldverg	(435) 245-4660
Raymond Construction Co.	A. Spencer Raymond	(435) 752-2914
Rueckert Construction Co.	Ken M. Rueckert	(801) 253-1774
Spindler Construction Corporation	Gary R. Stevens	(435) 753-0728
Steve Draper Construction	Steve Draper	(801) 756-7179
Wade Payne Construction, Inc.	Wade Payne	(801) 226-7772
Wasatch West Construction	JD Tyrrell	(801) 299-8541

The bid documents, with the exception of drawings and specifications, will be available at 4:00 PM on Tuesday, January 29, 2008 on the DFCM web page at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>. CDs containing the specifications and drawings will be distributed at the **mandatory** pre-bid meeting which will be held at 11:00 AM on Tuesday, February 5, 2008 at the Decker Lake Youth Center, 2310 West 2770 South, West Valley City, Utah. All prequalified prime contractors wishing to bid on this project must attend this meeting. For questions regarding this project, please contact Wayne Smith, Project Manager, DFCM, at (801) 550-6536. No others are to be contacted regarding this project.

Bids must be submitted by 3:00 PM on Thursday, February 14, 2008 to DFCM, 4110 State Office Building, Salt Lake City, Utah 84114. Bids will be opened and read aloud in the DFCM Conference Room, 4110 State Office Building, Salt Lake City, Utah. Note: Bids must be received at 4110 State Office Building by the specified time. The contractor shall comply with and require all of its subcontractors to comply with the license laws as required by the State of Utah. A bid bond in the amount of five percent (5%) of the bid amount, made payable to the Division of Facilities Construction and Management on DFCM's bid bond form, shall accompany the bid. The Division of Facilities Construction & Management reserves the right to reject any or all bids or to waive any formality or technicality in any bid in the interest of the State.

DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT MARLA WORKMAN, CONTRACT COORDINATOR 4110 State Office Bldg., Salt Lake City, Utah 84114

#### STAGE II - MULTI-STEP BIDDING PROCESS

ONLY FIRMS PRE-QUALIFIED DURING STAGE I OF THE RFS ARE ALLOWED TO BID ON THIS PROJECT

#### 1. <u>Invitational Bid Procedures</u>

The following is an overview of the invitational bid process. More detailed information is contained throughout the document. Contractors are responsible for reading and complying with all information contained in this document.

<u>Notification:</u> DFCM will notify each registered pre-qualified firm (via fax or e-mail) when a project is ready for Construction Services and invite them to bid on the project.

<u>Description of Work:</u> A description of work or plans/specifications will be given to each contractor. If required, the plans and specifications will be available on the DFCM web page at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a> and on CDs from DFCM, at 4110 State Office Building, Salt Lake City, Utah 84114.

<u>Schedule:</u> The Stage II Schedule shows critical dates including the mandatory pre-bid site meeting (if required), the question and answer period, the bid submittal deadline, the subcontractor list submittal deadline, etc. Contractors are responsible for meeting all deadlines shown on the schedule.

<u>Mandatory Pre-Bid Site Meeting:</u> If a firm fails to attend a pre-bid site meeting labeled "Mandatory" they will not be allowed to bid on the project. At the mandatory meeting, contractors may have an opportunity to inspect the site, receive additional instructions and ask questions about project. The schedule contains information on the date, time, and place of the mandatory pre-bid site meeting.

<u>Written Questions:</u> All questions must be in writing and directed to DFCM's project manager assigned to this project. No others are to be contacted regarding this project. The schedule contains information on the deadline for submitting questions.

Addendum: All clarifications from DFCM will be in writing and issued as an addendum to the RFS. Addenda will be posted on DFCM's web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>. Contractors are responsible for obtaining information contained in each addendum from the web site. Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda may result in disqualification from bidding.

<u>Submitting Bids:</u> Bids must be submitted to DFCM 4110 State Office Building, Salt Lake City, Utah 84114 by the deadline indicated on the schedule. Bids submitted after the deadline will not be accepted. Bids will be opened at DFCM on the date, time, and place indicated on the schedule.

<u>Subcontractors List:</u> The firm selected for the project must submit a list of all subcontractors by the deadline indicated on the schedule contained in this document.

<u>Pre-qualified List of Contractors:</u> Contractors shall remain on DFCM's list of pre-qualified contractors provided: (a) they maintain a performance rating of 3.5 or greater on each project, (b) they are not suspended for failure to comply with requirements of their contract, (c) the firm has not undergone a significant reorganization involving the loss of key personnel (site superintendents, project managers, owners, etc.) to a degree such that the firm no longer meets the pre-qualification requirements outlined in Stage I, (d) the financial viability of the firm has not significantly changed, and (e) the firm is not otherwise disqualified by DFCM. Note: If a contractor fails to comply with items (a) through (e) above,

they may be removed from DFCM's list of pre-qualified contractors following an evaluation by a review committee. Contractors will be given the opportunity to address the review committee before a decision is made. Pre-qualified contractors are ONLY authorized to bid on projects within the discipline that they were originally pre-qualified under.

#### 2. Drawings and Specifications and Interpretations

Drawings, specifications and other contract documents may be obtained as stated in the Invitation to Bid. If any firm is in doubt as to the meaning or interpretation of any part of the drawings, specifications, scope of work or contract documents, they shall submit, in writing, a request for interpretation to the authorized DFCM representative by the deadline identified in the schedule. Answers to questions and interpretations will be made via addenda issued by DFCM. Neither DFCM or the designer shall be responsible for incorrect information obtained by contractors from sources other than the official drawings/specifications and addenda issued by DFCM.

#### 3. Product Approvals

Where reference is made to one or more proprietary products in the contract documents, but restrictive descriptive materials of one or more manufacturer(s) is referred to in the contract documents, the products of other manufacturers will be accepted, provided they equal or exceed the standards set forth in the drawings and specifications and are compatible with the intent and purpose of the design, subject to the written approval of the Designer. Such written approval must occur prior to the deadline established for the last scheduled addendum to be issued. The Designer's written approval will be included as part of the addendum issued by DFCM. If the descriptive material is not restrictive, the products of other manufacturers specified will be accepted without prior approval provided they are compatible with the intent and purpose of the design as determined by the Designer.

#### 4. Addenda

All clarifications from DFCM will be in writing and issued as an addendum to the RFS. Addenda will be posted on DFCM's web site at <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>. Contractors are responsible for obtaining information contained in each addendum from the web site. Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda shall result in disqualification from bidding. DFCM shall not be responsible for incorrect information obtained by contractors from sources other than official addenda issued by DFCM.

#### 5. Financial Responsibility of Contractors, Subcontractors and Sub-subcontractors

Contractors shall respond promptly to any inquiry in writing by DFCM to any concern of financial responsibility of the Contractor, Subcontractor or Sub-subcontractor. Failure to respond may result in suspension from DFCM's list of pre-qualified contractors.

#### 6. Licensure

The Contractor shall comply with and require all of its Subcontractors to comply with the license laws as required by the State of Utah.

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#### 7. Permits

In concurrence with the requirements for permitting in the general conditions, it is the responsibility of the contractor to obtain the fugitive dust plan requirements from the Utah Division of Air Quality and the SWPPP requirements from the Utah Department of Environmental Quality and submit the completed forms and pay any permit fee that may be required for this specific project. Failure to obtain the required permit may result in work stoppage and/or fines from the regulating authority that will be the sole responsibility of the contractor. Any delay to the project as a result of any such failure to obtain the permit or noncompliance with the permit shall not be eligible for any extension in the Contract Time.

#### 8. <u>Time is of the Essence</u>

Time is of the essence in regard to all the requirements of the contract documents.

#### 9. <u>Bids</u>

Before submitting a bid, each bidder shall carefully examine the contract documents; shall visit the site of the work; shall fully inform themselves as to all existing conditions and limitations; and shall include in the bid the cost of all items required by the contract documents including those added via addenda. If the bidder observes that portions of the contract documents are at variance with applicable laws, building codes, rules, regulations or contain obvious erroneous or uncoordinated information, the bidder shall promptly notify the DFCM Project Manager prior to the bidding deadline. Changes necessary to correct these issues will be made via addenda issued by DFCM.

The bid, bearing original signatures, must be typed or handwritten in ink on the Bid Form provided in the procurement documents and submitted in a sealed envelope at the location specified by the Invitation to Bid prior to the published deadline for the submission of bids.

Bid bond security, in the amount of five percent (5%) of the bid, made payable to the Division of Facilities Construction and Management, shall accompany bid. THE BID BOND MUST BE ON THE BID BOND FORM PROVIDED IN THE PROCUREMENT DOCUMENTS IN ORDER TO BE CONSIDERED AN ACCEPTABLE BID.

If the bid bond security is submitted on a form other than DFCM's required bid bond form, and the bid security meets all other legal requirements, the bidder will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security. A cashier's check cannot be used as a substitute for a bid bond.

#### 10. Listing of Subcontractors

Listing of Subcontractors shall be as summarized in the "Instructions and Subcontractor's List Form", included as part of the contract documents. The subcontractors list shall be delivered to DFCM or faxed to DFCM at (801)538-3677 within 24 hours of the bid opening. Requirements for listing additional subcontractors will be listed in the contract documents.

DFCM retains the right to audit or take other steps necessary to confirm compliance with requirements for the listing and changing of subcontractors. Any contractor who is found to not be in compliance with these requirements may be suspended from DFCM's list of pre-qualified contractors.

#### 11. Contract and Bond

The Contractor's Agreement will be in the form provided in this document. The duration of the contract shall be for the time indicated by the project completion deadline shown on the schedule. The successful bidder, simultaneously with the execution of the Contractor's Agreement, will be required to furnish a performance bond and a payment bond, both bearing original signatures, upon the forms provided in the procurement documents.

The performance and payment bonds shall be for an amount equal to one hundred percent (100%) of the Contract Sum and secured from a company that meets the requirements specified in the requisite forms. Any bonding requirements for Subcontractors will be specified in the Supplementary General Conditions.

#### 12. Award of Contract

The Contract will be awarded as soon as possible to the lowest, responsive and responsible bidder, based on the lowest combination of base bid and acceptable prioritized alternates, provided the bid is reasonable, is in the interests of DFCM to accept and after applying the Utah Preference Laws in U.C.A. Title 63, Chapter 56. DFCM reserves the right to waive any technicalities or formalities in any bid or in the bidding. Alternates will be accepted on a prioritized basis with Alternate 1 being highest priority, Alternate 2 having second priority, etc. Alternates will be selected in prioritized order up to the construction cost estimate.

#### 13. Right to Reject Bids

DFCM reserves the right to reject any or all Bids.

#### 14. Withdrawal of Bids

Bids may be withdrawn on written request received from bidders within 24 hours after the bid opening if the contractor has made an error in preparing the bid.

#### 15. DFCM Contractor Performance Rating

As a contractor completes each project, DFCM will evaluate project performance based on the enclosed "DFCM Contractor Performance Rating" form. The ratings issued on this project may affect the firm's "pre-qualified" status and their ability to obtain future work with DFCM.



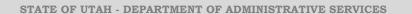
**DFCM** 

# Stage II PROJECT SCHEDULE

PROJECT NAME: CONTROL ROOM UPGRADE - DECKER LAKE YOUTH CENTER
DIVISION OF JUVENILE JUSTICE SERVICES – WEST VALLEY CITY, UTAH
DFCM PROJECT #: 07193430

Event	Day	Date	Time	Place
Stage II Bidding Documents Available (with the exception of the drawings and specifications)	Tuesday	January 29, 2008	4:00 PM	DFCM 4110 State Office Building SLC, UT and the DFCM web site*
Mandatory Pre-bid Site Meeting (CDs will be distributed containing the drawings and specifications).	Tuesday	February 5, 2008	11:00 AM	Decker Lake Youth Center 2310 West 2770 South West Valley City, UT
Deadline for Submitting Questions	Friday	February 8, 2008	4:00 PM	Wayne Smith – DFCM E-mail wfsmith@utah.gov Fax (801) 538-3267
Addendum Deadline (exception for bid delays)	Tuesday	February 12, 2008	2:00 PM	DFCM web site*
Prime Contractors Turn in Bid and Bid Bond	Thursday	February 14, 2008	3:00 PM	DFCM 4110 State Office Building SLC, UT
Subcontractors List Due	Friday	February 15, 2008	3:00 PM	DFCM 4110 State Office Building SLC, UT Fax 801-538-3677
Substantial Completion Date	Friday	May 30, 2008		

<sup>\*</sup> NOTE: DFCM's web site address is <a href="http://dfcm.utah.gov">http://dfcm.utah.gov</a>





**DFCM** 

# **BID FORM**

NAME OF BIDDER	DATE	
To the Division of Facilities Construction and M 4110 State Office Building Salt Lake City, Utah 84114	<b>S</b> Ianagement	
The undersigned, responsive to the "Invitation to CONTROL ROOM UPGRADE - DECKER INVITED SERVICES – WEST VALLEY CI examined the Contract Documents and the site of surrounding the construction of the proposed Profurnish all labor, materials and supplies as requisepecified and within the time set forth and at the performing the Work required under the Contraction of the proposed Profunction of the pro	LAKE YOUTH CENTER - DIVISION OF J TY, UTAH - DFCM PROJECT NO. 071934 of the proposed Work and being familiar with all oject, including the availability of labor, hereby red for the Work in accordance with the Contra- te price stated below. This price is to cover all ex-	UVENILE  430 and having Il of the conditions proposes to ct Documents as
I/We acknowledge receipt of the following Add	enda:	
<b>BASE BID</b> : For all work shown on the Drawin I/we agree to perform for the sum of:	gs and described in the Specifications and Cont	ract Documents,
	DOLLARS (\$	)
(In case of discrepancy, written amount shall go	vern)	
<b>ADDITIVE ALTERNATE #1:</b> For all work s Contract Documents to <b>furnish and install new</b> sum of:	building lighting control system, I/we agree	to perform for the
	DOLLARS (\$	)
(In case of discrepancy, written amount shall go	vern)	
<b>ADDITIVE ALTERNATE #2:</b> For all work s Contract Documents to <b>furnish and install add</b> to perform for the sum of:		
	DOLLARS (\$	)
(In case of discrepancy, written amount shall go		
<b>ADDITIVE ALTERNATE #3:</b> For all work s Specifications and Contract Documents to <b>furni</b> perform for the sum of:		
	DOLLARS (\$	)
(In case of discrepancy, written amount shall go		

BID FORM PAGE NO. 2

	shown on the Drawings and described in the Specifications and solid surface countertop in lieu of plastic laminate, I/we agree to
	DOLLARS (\$)
(In case of discrepancy, written amount shall g	
	ially Complete by May 30, 2008, should I/we be the successful the amount of \$400.00 per day for each day after expiration of the attractor's Agreement.
This bid shall be good for 45 days after bid op	ening.
Enclosed is a 5% bid bond, as required, in the	sum of
The undersigned Contractor's License Number	for Utah is
unless a shorter time is specified in Contract D in the prescribed form in the amount of 100% Bid Bond attached, in the amount not less than of the Division of Facilities Construction and I	e undersigned agrees to execute the contract within ten (10) days, occuments, and deliver acceptable Performance and Payment bonds of the Contract Sum for faithful performance of the contract. The a five percent (5%) of the above bid sum, shall become the property Management as liquidated damages for delay and additional ontract is not executed and/or acceptable 100% Performance and et forth.
Type of Organization:	(Corporation, Partnership, Individual, etc.)
Any request and information related to Utah P	reference Laws:
	Respectfully submitted,
	Name of Bidder
	ADDRESS:
	Authorized Signature

**BID BOND** (Title 63, Chapter 56, U. C. A. 1953, as Amended)

#### KNOW ALL PERSONS BY THESE PRESENTS:

That	hereinafter referred to as the
"Principal," and, with its principal office in this State and U. S. Department of the Treasury Listed, (Circular 570, Com Federal Bonds and as Acceptable Reinsuring Companies); hereinafter refer UTAH, hereinafter referred to as the "Obligee," in the amount of \$ the sum of this Bond to which payment the Principal and Surety bind them	rred to as the "Surety," are held and firmly bound unto the STATE OF
jointly and severally, firmly by these presents.	serves, their neirs, executors, administrators, successors and assigns,
THE CONDITION OF THIS OBLIGATION IS SUCH that incorporated by reference herein, dated as shown, to enter into a contract	whereas the Principal has submitted to Obligee the accompanying bid in writing for the Project.
a contract and give bond to be approved by the Obligee for the faithful per of such contract to the principal, then the sum of the amount stated above va penalty; if the said principal shall execute a contract and give bond to be ten (10) days after being notified in writing of such contract to the Priunderstood and agreed that the liability of the Surety for any and all defaul The Surety, for value received, hereby stipulates and agrees that obligation from actual date of the bid opening.	will be forfeited to the State of Utah as liquidated damages and not as approved by the Obligee for the faithful performance thereof within ncipal, then this obligation shall be null and void. It is expressly its of the Principal hereunder shall be the full penal sum of this Bond.
amended, and all liabilities on this Bond shall be determined in accordance herein.	ce with said provisions to same extent as if it were copied at length
<b>IN WITNESS WHEREOF,</b> the above bounden parties have ex below, the name and corporate seal of each corporate party being hereto affi pursuant to authority of its governing body.	secuted this instrument under their several seals on the date indicated fixed and these presents duly signed by its undersigned representative,
<b>DATED</b> this day of	·
Principal's name and address (if other than a corporation):	Principal's name and address (if a corporation):
By:	By:
Title:	Title:(Affix Corporate Seal)
	Surety's name and address:
STATE OF)	
) ss. COUNTY OF)	By:
On this day of, 20, personally appea whose identity is personally known to me or proved to me on the basis of she/she is the Attorney-in-fact of the above-named Surety Company, and the all respects with the laws of Utah in reference to becoming sole surety upon to me that as Attorney-in-fact executed the same.	nat he/she is duly authorized to execute the same and has complied in
Subscribed and sworn to before me this day of My Commission Expires: Resides at:	, 20
	NOTARY PUBLIC
Agency:	
Address:Phone:	Approved As To Form: May 25, 2005 By Alan S. Bachman, Asst Attorney General



**DFCM** 

#### INSTRUCTION AND SUBCONTRACTORS LIST FORM

The three low bidders, as well as all other bidders that desire to be considered, are required by law to submit to DFCM within 24 hours of bid opening a list of <u>ALL</u> first-tier subcontractors, including the subcontractor's name, bid amount and other information required by Building Board Rule and as stated in these Contract Documents, based on the following:

#### **DOLLAR AMOUNTS FOR LISTING**

PROJECTS UNDER \$500,000: ALL FIRST-TIER SUBS \$20,000 OR OVER MUST BE LISTED PROJECTS \$500,000 OR MORE: ALL FIRST-TIER SUBS \$35,000 OR OVER MUST BE LISTED

- Any additional subcontractors identified in the bid documents shall also be listed.
- The DFCM Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law.
- List subcontractors for base bid as well as the impact on the list that the selection of any alternate may have.
- Bidder may not list more than one subcontractor to perform the same work.
- If there are no subcontractors for the job that are required to be reported by State law (either because there are no subcontractors that will be used on the project or because there are no first-tier subcontractors over the dollar amounts referred to above), then you do not need to submit a sublist. If you do not submit a sublist, it will be deemed to be a representation by you that there are no subcontractors on the job that are required to be reported under State law. At any time, DFCM reserves the right to inquire, for security purposes, as to the identification of the subcontractors at any tier that will be on the worksite.

#### LICENSURE:

The subcontractor's name, the type of work, the subcontractor's bid amount, and the subcontractor's license number as issued by DOPL, if such license is required under Utah Law, shall be listed. Bidder shall certify that all subcontractors, required to be licensed, are licensed as required by State law. A subcontractor includes a trade contractor or specialty contractor and does not include suppliers who provide <u>only</u> materials, equipment, or supplies to a contractor or subcontractor.

#### **'SPECIAL EXCEPTION':**

A bidder may list 'Special Exception' in place of a subcontractor when the bidder intends to obtain a subcontractor to perform the work at a later date because the bidder was unable to obtain a qualified or reasonable bid under the provisions of U.C.A.Section 63A-5-208(4). The bidder shall insert the term 'Special Exception' for that category of work, and shall provide documentation with the subcontractor list describing the bidder's efforts to obtain a bid of a qualified subcontractor at a reasonable cost and why the bidder was unable to obtain a qualified subcontractor bid. The Director must find that the bidder complied in good faith with State law requirements for any 'Special Exception' designation, in order for the bid to be considered. If awarded the contract, the Director shall supervise the bidder's efforts to obtain a qualified subcontractor bid. The amount of the awarded contract may not be adjusted to reflect the actual amount of the subcontractor's bid. Any listing of 'Special Exception' on the sublist form shall also include amount allocated for that work.

#### **GROUNDS FOR DISQUALIFICATION:**

The Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law. Director may withhold awarding the contract to a particular bidder if one or more of the proposed subcontractors are considered by the Director to be unqualified to do the Work or for such

# INSTRUCTIONS AND SUBCONTRACTORS LIST FORM Page No. 2

other reason in the best interest of the State of Utah. Notwithstanding any other provision in these instructions, if there is a good faith error on the sublist form, at the sole discretion of the Director, the Director may provide notice to the contractor and the contractor shall have 24 hours to submit the correction to the Director. If such correction is submitted timely, then the sublist requirements shall be considered met.

#### CHANGES OF SUBCONTRACTORS SPECIFICALLY IDENTIFIED ON SUBLIST FORM:

Subsequent to twenty-four hours after the bid opening, the contractor may change its listed subcontractors only after receiving written permission from the Director based on complying with all of the following criteria.

- (1) The contractor has established in writing that the change is in the best interest of the State and that the contractor establishes an appropriate reason for the change, which may include, but not is not limited to, the following reasons: the original subcontractor has failed to perform, or is not qualified or capable of performing, and/or the subcontractor has requested in writing to be released.
- (2) The circumstances related to the request for the change do not indicate any bad faith in the original listing of the subcontractors.
- (3) Any requirement set forth by the Director to ensure that the process used to select a new subcontractor does not give rise to bid shopping.
- (4) Any increase in the cost of the subject subcontractor work is borne by the contractor.
- (5) Any decrease in the cost of the subject subcontractor work shall result in a deductive change order being issued for the contract for such decreased amount.
- (6) The Director will give substantial weight to whether the subcontractor has consented in writing to being removed unless the Contractor establishes that the subcontractor is not qualified for the work.

#### **EXAMPLE:**

Example of a list where there are only four subcontractors:

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONTRACTOR LICENSE #
ELECTRICAL	ABCD Electric Inc.	\$350,000.00	123456789000
LANDSCAPING	"Self" *	\$300,000.00	123456789000
CONCRETE (ALTERNATE #1)	XYZ Concrete Inc	\$298,000.00	987654321000
MECHANICAL	"Special Exception" (attach documentation)	Fixed at: \$350,000.00	(TO BE PROVIDED AFTER OBTAINING SUBCONTRACTOR)

<sup>\*</sup> Bidders may list "self", but it is not required.

PURSUANT TO STATE LAW - SUBCONTRACTOR BID AMOUNTS CONTAINED IN THIS SUBCONTRACTOR LIST SHALL NOT BE DISCLOSED UNTIL THE CONTRACT HAS BEEN AWARDED.



DDOIECT TITLE.

## **Division of Facilities Construction and Management**

**DFCM** 

## SUBCONTRACTORS LIST FAX TO 801-538-3677

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONT. LICENSE
TITE OF WORK	SELF OR SIECIAL EACEI HON	DID ANIOUNI	CONT. LICENSE
well as any alternates. We have listed "Self" or "S	tractors as required by the instructions, inc pecial Exception" in accordance with the in opriately licensed as required by State law.	nstructions.	o the base bid as
	FIRM:		
E:			

4110 State Office Building, Salt Lake City, Utah 84114 - telephone 801-538-3018 - facsimile 801-538-3677 - http://dfcm.utah.gov

CONTRACT DOCUMENTS, SHALL BE GROUNDS FOR OWNER'S REFUSAL TO ENTER INTO A WRITTEN CONTRACT WITH BIDDER. ACTION MAY BE TAKEN AGAINST BIDDERS BID BOND AS DEEMED

APPROPRIATE BY OWNER. ATTACH A SECOND PAGE IF NECESSARY.

300/300/	/FVA/	/	//_
	Project 1	No	

# **CONTRACTOR'S AGREEMENT**

FOR:
THIS CONTRACTOR'S AGREEMENT, made and entered into this day of, 20, by and between the DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT, hereinafter referred to as "DFCM", and, incorporated in the State of
and authorized to do business in the State of Utah, hereinafter referred to as "Contractor",
whose address is
WITNESSETH: WHEREAS, DFCM intends to have Work performed at
WHEDEAG G
WHEREAS, Contractor agrees to perform the Work for the sum stated herein.
NOW, THEREFORE, DFCM and Contractor for the consideration provided in this Contractor's Agreement, agree as follows:
ARTICLE 1. SCOPE OF WORK. The Work to be performed shall be in accordance with the Contract Documents prepared by and entitled "
···
The DFCM General Conditions ("General Conditions") dated May 25, 2005 on file at the office of DFCM and available on the DFCM website, are hereby incorporated by reference as part of this Agreement and are included in the specifications for this Project. All terms used in this Contractor's Agreement shall be as defined in the Contract Documents, and in particular, the General Conditions.
The Contractor Agrees to furnish labor, materials and equipment to complete the Work as required in the Contract Documents which are hereby incorporated by reference. It is understood and agreed by the parties hereto that all Work shall be performed as required in the Contract Documents and shall be subject to inspection and approval of DFCM or its authorized representative. The relationship of the Contractor to the DFCM hereunder is that of an independent Contractor.
<b>ARTICLE 2. CONTRACT SUM.</b> The DFCM agrees to pay and the Contractor agrees to accept in full performance of this Contractor's Agreement, the sum of
DOLLARS AND NO CENTS (\$00), which is the base bid, and which sum also includes the cost of a 100%

# CONTRACTOR'S AGREEMENT PAGE NO. 2

Performance Bond and a 100% Payment Bond as well as all insurance requirements of the Contractor. Said bonds have already been posted by the Contractor pursuant to State law. The required proof of insurance certificates have been delivered to DFCM in accordance with the General Conditions before the execution of this Contractor's Agreement.

ARTICLE 3. TIME OF COMPLETION AND DELAY REMEDY. The Work shall be
Substantially Complete by Contractor agrees to pay liquidated damages in the
amount of \$ per day for each day after expiration of the Contract Time until the Contractor
achieves Substantial Completion in accordance with the Contract Documents, if Contractor's delay
makes the damages applicable. The provision for liquidated damages is: (a) to compensate the DFCM
for delay only; (b) is provided for herein because actual damages can not be readily ascertained at the
time of execution of this Contractor's Agreement; (c) is not a penalty; and (d) shall not prevent the
DFCM from maintaining Claims for other non-delay damages, such as costs to complete or remedy
defective Work.

No action shall be maintained by the Contractor, including its or Subcontractor or suppliers at any tier, against the DFCM or State of Utah for damages or other claims due to losses attributable to hindrances or delays from any cause whatsoever, including acts and omissions of the DFCM or its officers, employees or agents, except as expressly provided in the General Conditions. The Contractor may receive a written extension of time, signed by the DFCM, in which to complete the Work under this Contractor's Agreement in accordance with the General Conditions.

**ARTICLE 4. CONTRACT DOCUMENTS.** The Contract Documents consist of this Contractor's Agreement, the Conditions of the Contract (DFCM General Conditions, Supplementary and other Conditions), the Drawings, Specifications, Addenda and Modifications. The Contract Documents shall also include the bidding documents, including the Notice to Contractors, Instructions to Bidders/Proposers and the Bid/Proposal, to the extent not in conflict therewith and other documents and oral presentations that are documented as an attachment to the contract.

All such documents are hereby incorporated by reference herein. Any reference in this Contractor's Agreement to certain provisions of the Contract Documents shall in no way be construed as to lessen the importance or applicability of any other provisions of the Contract Documents.

**ARTICLE 5. PAYMENT.** The DFCM agrees to pay the Contractor from time to time as the Work progresses, but not more than once each month after the date of Notice to Proceed, and only upon Certificate of the A/E for Work performed during the preceding calendar month, ninety-five percent (95%) of the value of the labor performed and ninety-five percent (95%) of the value of materials furnished in place or on the site. The Contractor agrees to furnish to the DFCM invoices for materials purchased and on the site but not installed, for which the Contractor requests payment and agrees to safeguard and protect such equipment or materials and is responsible for safekeeping thereof and if such be stolen, lost or destroyed, to replace same.

# CONTRACTOR'S AGREEMENT PAGE NO. 3

Such evidence of labor performed and materials furnished as the DFCM may reasonably require shall be supplied by the Contractor at the time of request for Certificate of Payment on account. Materials for which payment has been made cannot be removed from the job site without DFCM's written approval. Five percent (5%) of the earned amount shall be retained from each monthly payment. The retainage, including any additional retainage imposed and the release of any retainage, shall be in accordance with UCA 13-8-5 as amended. Contractor shall also comply with the requirements of UCA 13-8-5, including restrictions of retainage regarding subcontractors and the distribution of interest earned on the retention proceeds. The DFCM shall not be responsible for enforcing the Contractor's obligations under State law in fulfilling the retention law requirements with subcontractors at any tier.

**ARTICLE 6. INDEBTEDNESS.** Before final payment is made, the Contractor must submit evidence satisfactory to the DFCM that all payrolls, materials bills, subcontracts at any tier and outstanding indebtedness in connection with the Work have been properly paid. Final Payment will be made after receipt of said evidence, final acceptance of the Work by the DFCM as well as compliance with the applicable provisions of the General Conditions.

Contractor shall respond immediately to any inquiry in writing by DFCM as to any concern of financial responsibility and DFCM reserves the right to request any waivers, releases or bonds from Contractor in regard to any rights of Subcontractors (including suppliers) at any tier or any third parties prior to any payment by DFCM to Contractor.

**ARTICLE 7. ADDITIONAL WORK.** It is understood and agreed by the parties hereto that no money will be paid to the Contractor for additional labor or materials furnished unless a new contract in writing or a Modification hereof in accordance with the General Conditions and the Contract Documents for such additional labor or materials has been executed. The DFCM specifically reserves the right to modify or amend this Contractor's Agreement and the total sum due hereunder either by enlarging or restricting the scope of the Work.

**ARTICLE 8. INSPECTIONS.** The Work shall be inspected for acceptance in accordance with the General Conditions.

**ARTICLE 9. DISPUTES.** Any dispute, PRE or Claim between the parties shall be subject to the provisions of Article 7 of the General Conditions. DFCM reserves all rights to pursue its rights and remedies as provided in the General Conditions.

**ARTICLE 10. TERMINATION, SUSPENSION OR ABANDONMENT.** This Contractor's Agreement may be terminated, suspended or abandoned in accordance with the General Conditions.

#### ARTICLE 11. DFCM'S RIGHT TO WITHHOLD CERTAIN AMOUNT AND MAKE USE

**THEREOF.** The DFCM may withhold from payment to the Contractor such amount as, in DFCM's judgment, may be necessary to pay just claims against the Contractor or Subcontractor at any tier for labor and services rendered and materials furnished in and about the Work. The DFCM may apply such withheld amounts for the payment of such claims in DFCM's discretion. In so doing, the DFCM shall be deemed the agent of Contractor and payment so made by the DFCM shall be considered as payment made under this Contractor's Agreement by the DFCM to the Contractor. DFCM shall not be liable to the Contractor for any such payment made in good faith. Such withholdings and payments may be made without prior approval of the Contractor and may be also be prior to any determination as a result of any dispute, PRE, Claim or litigation.

**ARTICLE 12. INDEMNIFICATION.** The Contractor shall comply with the indemnification provisions of the General Conditions.

ARTICLE 13. SUCCESSORS AND ASSIGNMENT OF CONTRACT. The DFCM and Contractor, respectively bind themselves, their partners, successors, assigns and legal representatives to the other party to this Agreement, and to partners, successors, assigns and legal representatives of such other party with respect to all covenants, provisions, rights and responsibilities of this Contractor's Agreement. The Contractor shall not assign this Contractor's Agreement without the prior written consent of the DFCM, nor shall the Contractor assign any moneys due or to become due as well as any rights under this Contractor's Agreement, without prior written consent of the DFCM.

**ARTICLE 14. RELATIONSHIP OF THE PARTIES.** The Contractor accepts the relationship of trust and confidence established by this Contractor's Agreement and covenants with the DFCM to cooperate with the DFCM and A/E and use the Contractor's best skill, efforts and judgment in furthering the interest of the DFCM; to furnish efficient business administration and supervision; to make best efforts to furnish at all times an adequate supply of workers and materials; and to perform the Work in the best and most expeditious and economic manner consistent with the interests of the DFCM.

**ARTICLE 15. AUTHORITY TO EXECUTE AND PERFORM AGREEMENT.** Contractor and DFCM each represent that the execution of this Contractor's Agreement and the performance thereunder is within their respective duly authorized powers.

**ARTICLE 16. ATTORNEY FEES AND COSTS.** Except as otherwise provided in the dispute resolution provisions of the General Conditions, the prevailing party shall be entitled to reasonable attorney fees and costs incurred in any action in the District Court and/or appellate body to enforce this Contractor's Agreement or recover damages or any other action as a result of a breach thereof.

# CONTRACTOR'S AGREEMENT PAGE NO. 5

**IN WITNESS WHEREOF**, the parties hereto have executed this Contractor's Agreement on the day and year stated hereinabove.

	CONTRACTOR:		
	Signature	Date	
	Title:		
State of)			
County of)	Please type/print name clearly		
On this day of, 20, personally known to me (or jwho by me duly sworn (or affirmed), did say the firm and that said document was signed by	proved to me on the basis of satisfactory evhat he (she) is the (title	idence) and	
(SEAL)	Notary Public  My Commission Expires		
APPROVED AS TO AVAILABILITY OF FUNDS:	DIVISION OF FACILITIES CONSTRUCTION AND MANAG		
David D. Williams, Jr. Date DFCM Administrative Services Director	Manager Capital Development/Improvements	Date	
APPROVED AS TO FORM: ATTORNEY GENERAL November 30, 2006	APPROVED FOR EXPENDITURE		
By: Alan S. Bachman Asst Attorney General	Division of Finance	Date	

### PERFORMANCE BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

That	hereinafter referre	ed to as the "Principal" and
	, a corporation organized and existing un	der the laws of the State of _
, with its principal office in the City of a Listed (Circular 570, Companies Holding Certificates of Authority as A hereinafter referred to as the "Surety," are held and firmly bound unto the	cceptable Securities on Federal Bonds and as Accepta	ble Reinsuring Companies);
neremarker referred to as the Surety, are need and firmly bound unto the		
said Principal and Surety bind themselves and their heirs, administrators,		
WHEREAS, the Principal has entered into a certain written C construct	ontract with the Obligee, dated the day of	, 20, to
whereas, the Principal has entered into a certain written C construct	, for the approximate sum of	) which
Contract is hereby incorporated by reference herein.	Donais (	
NOW, THEREFORE, the condition of this obligation is such Contract Documents including, but not limited to, the Plans, Specification Contract as said Contract may be subject to Modifications or changes, the	is and conditions thereof, the one year performance wa in this obligation shall be void; otherwise it shall remain	rranty, and the terms of the in full force and effect.
No right of action shall accrue on this bond to or for the use o administrators or successors of the Owner.	f any person or corporation other than the state named h	erein or the heirs, executors,
The parties agree that the dispute provisions provided in the Co	ntract Documents apply and shall constitute the sole disp	ute procedures of the parties.
PROVIDED, HOWEVER, that this Bond is executed pursua and all liabilities on this Bond shall be determined in accordance with said		
IN WITNESS WHEREOF, the said Principal and Surety have	e signed and sealed this instrument this day of _	, 20
WITNESS OR ATTESTATION:	PRINCIPAL:	
	Ву:	
	•	(Seal)
	Title:	
WITNESS OR ATTESTATION:	SURETY:	
	Ву:	
STATE OF)	Attorney-in-Fact	(Seal)
) ss.		
COUNTY OF)		
On this day of, 20, personally appeal identity is personally known to me or proved to me on the basis of satisfar in-fact of the above-named Surety Company and that he/she is duly authoreference to becoming sole surety upon bonds, undertakings and obligations.	ctory evidence, and who, being by me duly sworn, did sa orized to execute the same and has complied in all respe	by that he/she is the Attorney ects with the laws of Utah in
Subscribed and sworn to before me this day of	20	
My commission expires: Resides at:		
resides di.	NOTARY PUBLIC	
Agency:		
Agent:		
Address:Phone:	Approved As By Alan S. Bachmai	To Form: May 25, 2005 n, Asst Attorney General

## PAYMENT BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

#### KNOW ALL PERSONS BY THESE PRESENTS:

That			hereinafter referred to as	the "Principal," and	
	_, a corporation organized and existi	ing under the laws of the	e State of	authorized to	do business in this State
and U.S. Department of the	Treasury Listed (Circular 570, Com	npanies Holding Certific	cates of Authority as Acc	eptable Securities on I	Federal Bonds and as
Acceptable Reinsuring Comp	panies); with its principal office in the	e City of	, hereinafter referred to a	s the "Surety," are held	and firmly bound unto
the State of Utah hereinafter	referred to as the "Obligee," in the ar	mount of			
Dollars (\$	) for the payment whereof, the sa	aid Principal and Surety	bind themselves and their	heirs, administrators,	executors, successors
and assigns, jointly and seve	rally, firmly by these presents.				
WHEREAS, the	Principal has entered into a certain w	vritten Contract with the	Obligee, dated the	day of	, 20,
to construct					
in the County of	, State of Utah, Project No.	fc	or the approximate sum of	:	
			Dollars (\$	), which	contract is hereby
incorporated by reference he	rein.				
NOW, THEREF	ORE, the condition of this obligation	is such that if the said P	rincipal shall pay all claim	ants supplying labor or	materials to Principal
	in compliance with the provisions of				
Work provided for in said Co	ontract, then, this obligation shall be	void; otherwise it shall	remain in full force and e	ffect.	
That said Surety t	o this Bond, for value received, hereby	ov stimulates and agrees th	hat no changes, extensions	s of time, alterations or	additions to the terms
	to be performed thereunder, or the sp		-		
	e of any such changes, extensions of ti				-
	they shall become part of the Contrac			nader of to the Work of	r to the specifications
	•				
PROVIDED, HO	<b>DWEVER</b> , that this Bond is executed	pursuant to the provision	ns of Title 63, Chapter 56, U	Utah Code Annotated, 1	953, as amended, and
all liabilities on this Bond sh	all be determined in accordance with	said provisions to the s	same extent as if it were co	opied at length herein.	
IN WITNESS W	HEREOF, the said Principal and Su	arety have signed and se	ealed this instrument this	day of	, 20
WITNESS OR ATTESTA	fion:		PRINCIPAL:		
			By:		
			Title:		(Seal)
WITNESS OR ATTESTA	ΓΙΟN:		SURETY:		
			By:		
STATE OF	)		Attorney-in-Fact		(Seal)
	) ss.				
COUNTY OF	)				
On this	day of,				
			ose identity is personally k	_	
	no, being by me duly sworn, did say th				
	me and has complied in all respects		_	sole surety upon bon	ds, undertakings and
obligations, and that he/she a	acknowledged to me that as Attorney-	-in-fact executed the sai	me.		
Subscribed and export to hef	ore me this day of		20		
Subscribed and sworn to ber	one me uns day of				
My commission expires:					
			NOTARY PUBLIC		
Aganeye					
Agent:		II			
Address		<del></del>	Th.		Form: May 25, 2005
Phone:		I	B.	y Alan S. Bachman, A	assi Auorney General



**DFCM** 

### CERTIFICATE OF SUBSTANTIAL COMPLETION

PROJECT		PROJEC	CT NO:
AGENCY/INSTITUTION			
AREA ACCEPTED			
The Work performed under the subject Condefined in the General Conditions; including Documents, as modified by any change orders area of the Project for the use for which it is	g that the c s agreed to b	construction is sufficiently c	ompleted in accordance with the Contract
The DFCM - (Owner) accepts the Project opossession of the Project or specified area of			
The DFCM accepts the Project for occupancy utilities and insurance, of the Project subject			
The Owner acknowledges receipt of the followater acknowledges receipt of the followater acknowledges of the followater acknowledges receipt of the followater acknowledges ack		out and transition materials: Warranty Documents	Completion of Training Requirements
A list of items to be completed or corrected (I responsibility of the Contractor to complete changes thereof. The amount ofcompletion of the punch list work.	all the Wo	ork in accordance with the	Contract Documents, including authorized
The Contractor shall complete or correct thecalendar days from the above date of isst the Owner has the right to be compensated for expense of the retained project funds. If the Owner shall be promptly reimbursed for the	uance of thi r the delays retained pr	is Certificate. If the list of ite and/or complete the work w oject funds are insufficient t	ems is not completed within the time allotted ith the help of independent contractor at the o cover the delay/completion damages, the
CONTRACTOR (include name of firm)	_ by:	(Signature)	DATE
CONTRACTOR (include name of firm)	<b>1</b>	(Signature)	DAIL
A/E (include name of firm)	_ by:	(Signature)	DATE
USING INSTITUTION OR AGENCY	_ by:	(Signature)	DATE
	_ by:		
DFCM (Owner)		(Signature)	DATE
4110 State Office Building, Salt Lake City, Utelephone 801-538-3018 • facsimile 801-538			cc: Parties Noted DFCM, Director



#### STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

**DFCM** 

# Division of Facilities Construction and Management

# **General Contractor Performance Rating Form**

Project Name:			DFCM Project#				
Contractor:	A/E:			Original Contrac Amount:	1	t Final Contract Amount:	
(ABC Construction, John Doe, 111-111-	1111) (AB	C Architects, Jan	e Ooe, 222-222-2222)				
DFCM Project Manager:		Contract Date:					
Completion Date:				Date of Rating:			
Rating Guideline	PRODI SER	ITY OF UCT OR VICES	COST CONTROL	TIMELINESS OF PERFORMANCE		IESS RELATIONS	
5-Exceptional				nance level in any of the abo clearly exceeds the perform			
4-Very Good	Contractor i compliance contract req and/or deliv product/sen	with uirements ers quality	Contractor is effective in managing costs and submits current, accurate, and complete billings	Contractor is effective in meeting milestones and delivery schedule	Response to inquiries, technical/service/ administrative issues is effective		
3-Satisfactory	Minor inefficiencie have been i	ajturuli kultura autili tilantika Egyptik pikit jigatatti kee	Contractor is usually effective in managing cost	Contractor is usually effective in meeting milestones and delivery schedules	Response to inquires technical/ service/administrative issues is somewhat effective		
2-Marginal	Major proble been encou	ntered	Contractor is having major difficulty managing cost effectively	Contractor is having major difficulty meeting milestones and delivery schedule	Response to inquiries, technical/service/administrative issues is marginally effective		
1-Unsatisfactory	Contractor is compliance jeopardizing achievement objectives	and is	Contractor is unable to manage costs effectively	Contractor delays are jeopardizing performance of contract objectives	Response to inquiries, technical/service/administrative issues is not effective		
	<u>ala-lau den Mines meneris series einem neriem (em reziente en sicion d</u>						
Rate Contractors quality project cleanliness, organ		, -	_	tractor performance,		Score	
Agency Comments:							
A & E Comments:							
DFCM Project Manager Co	omments:						

2. Rate Contractor administration of project costs, change orders and financial management of the project budget.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	
3. Rate Contractor's performance and adherence to Project Schedule, delay procedures and requirements of substantial completion, inspection and punch-list performance.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	
4. Evaluate performance of contractor management team including project manager, engineer and superintendent also include in the rating team's ability to work well with owner, user agency and consultants.	Score
Agency Comments:	
A & E Comments:	
DFCM Project Manager Comments:	

5. Rate success of Contractor's manag project risks and performance of value	Score	
Agency Comments:		
A & E Comments:		
DFCM Project Manager Comments:		
Signed by:	Date:	Mean Score
Additional Comments:		